

# Audit and Governance Committee



Date of meeting:	28 March 2022
Title of Report:	<b>Risk Management Monitoring Report – February 2022</b>
Lead Member:	Councillor Nick Kelly (Leader)
Lead Strategic Director:	Giles Perritt (Assistant Chief Executive)
Author:	Robert Sowden
Contact Email:	Robert.Sowden@plymouth.gov.uk
Your Reference:	RS/RMFEB22
Key Decision:	No
Confidentiality:	Part I - Official

## Purpose of Report

The attached report (Appendix A) provides an update on both the Strategic and Operational Risk registers for the organisation. Appendix B is the updated strategic risk register. The register offers additional information including detail on Key Controls and Sources of Assurance and how progress against mitigation will be measured.

### Strategic Risk Register

There have been three new risks added to the strategic risk register bringing the total risks managed to 120. The three new risks relate to cyber-attacks (number 3 in table one), staff resilience to causative factors of stress (number 17 in table one) and the additional upfront contributions to offset the Council's pension fund deficit, made in 2019/20 (number 18 in table one). Three risks have a rating of Red; these relate to responding to the growing volume and complexity of demand on the Children, Young People and Families Service and Adult Social Care Service and the new risk regarding cyber-attacks. All other risks have seen no change in the risk rating score.

### Operational Risk Register

There are now 126 risks on the operational risk register. The number of red risks has increased from three in October 2021 to four in February 2022 following the escalation of a risk relating to the loss of confidential/sensitive data. The red risks relate to the following:

- Risk of loss of life, injury or property damage due to tree falling within the city and at Mount Edgumbe
- The management arrangements of Asbestos
- The risk of injury or incident linked to traffic management and segregation at Council depots.
- Risk of loss of confidential/sensitive data

## Recommendations and Reasons

The Audit and Governance Committee is recommended to:

Note the current position with regard to the Strategic Risk and Opportunity Register.

Reason: As part of the Committee's responsibility for monitoring the implementation and ongoing processes for identifying and managing key risks of the authority.

### Alternative options considered and rejected

Effective risk management processes are an essential element of internal control and as such are an important element of good corporate governance. For this reason alternative options are not applicable.

### Relevance to the Corporate Plan and/or the Plymouth Plan

The Strategic Risk and Opportunity Register includes links to the Corporate Plan priorities – monitoring of control action for strategic risks therefore contributes to the delivery of the council's core objectives.

### Implications for the Medium Term Financial Plan and Resource Implications:

None arising specifically from this report but control measures identified in Directorate Operational Risk and Opportunity Registers could have financial or resource implications.

### Financial Risks

None arising specifically from this report but control measures identified in Directorate Operational Risk and Opportunity Registers could have financial or resource implications.

### Carbon Footprint (Environmental) Implications:

Failure to deliver against actions in the Climate Emergency Action Plan and Corporate Carbon Reduction Plan are included on risk registers.

### Other Implications: e.g. Health and Safety, Risk Management, Child Poverty:

*\* When considering these proposals members have a responsibility to ensure they give due regard to the Council's duty to promote equality of opportunity, eliminate unlawful discrimination and promote good relations between people who share protected characteristics under the Equalities Act and those who do not.*

The risk registers specifically supports the council's overall governance arrangements.

### Appendices

*\*Add rows as required to box below*

Ref.	Title of Appendix	Exemption Paragraph Number (if applicable)						
		<i>If some/all of the information is confidential, you must indicate why it is not for publication by virtue of Part 1 of Schedule 12A of the Local Government Act 1972 by ticking the relevant box.</i>						
		1	2	3	4	5	6	7
A	Risk Management Monitoring Report							
B	Strategic Risk Register							

### Background papers:

*\*Add rows as required to box below*

*Please list all unpublished, background papers relevant to the decision in the table below. Background papers are unpublished works, relied on to a material extent in preparing the report, which disclose facts or matters on which the report or an important part of the work is based.*

Title of any background paper(s)	Exemption Paragraph Number (if applicable)
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	<i>If some/all of the information is confidential, you must indicate why it is not for publication by virtue of Part 1 of Schedule 12A of the Local Government Act 1972 by ticking the relevant box.</i>						
	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>7</b>

**Sign off:**

Fin	djn.2 1.22. 288	Leg	LS/3 8237 /AC/ 10/3/ 22	Mon Off		HR		Assets		Strat Proc	
Originating Senior Leadership Team member: Giles Perritt (Assistant Chief Executive)											
Please confirm the Strategic Director(s) has agreed the report? Yes Date agreed: 22/02/2022											
Cabinet Member approval: Councillor Nick Kelly (Leader) Date approved: 17/03/2022											